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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. ORCL.P102

First Inventor Sashikanth Chandrasekaran

Title CONDITIONAL DATA ACCESS AFTER DATABASE  
SYSTEM FAILURE

Express Mail Label No. EV 326 938 708 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 43]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
5. Oath or Declaration [Total Pages 3]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Certificate of Deposit with USPS as Express Mail

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information:

Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name Shemwell Gregory & Courtney LLP

Address 4880 Stevens Creek Boulevard  
Suite 201

City San Jose State California Zip Code 95129-1034

Country U.S.A. Telephone 408-236-6640 Fax 408-236-6641

Name (Print/Type) Charles E. Shemwell Registration No. (Attorney/Agent) 40,171

Signature *Charles E. Shemwell* Date 07/21/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

22002 U.S. PTO  
10/624242

07/21/03

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 1114.00

## Complete if Known

Application Number  
Filing Date *Herewith*  
First Named Inventor *Sashikanth Chandrasekaran*  
Examiner Name  
Art Unit  
Attorney Docket No. *ORCL.P102*

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number *501914*

Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	750
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ ) 750

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
24	-20** = 4	18	72
Independent Claims	6	-3** = 3	84
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	40
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 40

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type) *Charles E. Shemwell* Registration No. *40,171* Telephone *408-236-6645*  
Signature *Charles E. Shemwell* Date *July 21, 2003*

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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## EXPRESS MAIL CERTIFICATE OF MAILING

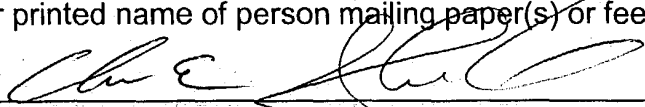
Express Mail" mailing label number: EV 326 938 708 US

Date of Deposit: July 21, 2003

I hereby certify that I am causing the paper(s) and/or fee(s) indicated below to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that the paper(s) and/or fee(s) have been addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Charles E. Shemwell

(Typed or printed name of person mailing paper(s) or fee(s))

  
(Signature of person mailing paper or fee)

July 21, 2003  
(Date signed)

Serial/Patent No.: \_\_\_\_\_ Filing/Issue Date: Herewith

Title: CONDITIONAL DATA ACCESS AFTER DATABASE SYSTEM FAILURE

Atty. Docket No.: ORCL.P102

Date Mailed: July 21, 2003

- |  |  |
|--|--|
| <input type="checkbox"/> Amendment/Response ( pgs.)                        | <input type="checkbox"/> Petition for Extension of Time ( month(s))            |
| <input type="checkbox"/> Preliminary Amendment ( pgs.)                     | <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A         |
| <input checked="" type="checkbox"/> Application - Utility (43 pgs.)        | <input type="checkbox"/> Issue Fee Transmittal                                 |
| <input type="checkbox"/> Application - Rule 1.53(b) Contin. ( pgs.)        | <input type="checkbox"/> Submission of Formal Drawings                         |
| <input type="checkbox"/> Application - Rule 1.53(b) Divis. ( pgs.)         | <input type="checkbox"/> Notice of Appeal                                      |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP ( pgs.)            | <input type="checkbox"/> Appeal Brief ( pgs. in triplicate)                    |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA ( pgs.)            | <input type="checkbox"/> Reply Brief   |
| <input type="checkbox"/> Application - PCT ( pgs.)                         | <input type="checkbox"/> Response to Notice of Missing Parts                   |
| <input type="checkbox"/> Application - Provisional ( pgs.)                 | <input checked="" type="checkbox"/> Utility Patent Application Transmittal     |
| <input checked="" type="checkbox"/> Drawings (9 sheets)                    | <input checked="" type="checkbox"/> Fee Transmittal (in duplicate)             |
| <input checked="" type="checkbox"/> Declaration (3 pgs.)                   | <input checked="" type="checkbox"/> Itemized Postcard                          |
| <input checked="" type="checkbox"/> Assignment & Cover Sheet (3 pgs.)      | <input checked="" type="checkbox"/> Express Mail Certificate Of Mailing        |
| <input checked="" type="checkbox"/> Power of Attorney by Assignee (2 pgs.) | <input checked="" type="checkbox"/> Express Mail No. <u>EV 326 938 708 US</u>  |
| <input type="checkbox"/> Nonpublication Request (35 USC 122(b))            | <input checked="" type="checkbox"/> Check No. <u>3003</u> Amt <u>\$1114.00</u> |
| <input type="checkbox"/> Other _____                                       |  |